

Arizona State University

SHOW ME THE MONEY: Sustainable Cities Grant Workshop September 13, 2017

Ann Marie Hess Research Advancement Manager Annmarie.hess@asu.edu

Proposal Team Activities

New Faculty Support & Mentoring

- Create funding opportunity plan
 - Brainstorming

Idea Development

- Workshops for a particular call
- Using past successful & unsuccessful submissions
 - Networking across campus

Finding Funding Opportunities

- Newsletter
- Development of searchable website
- Targeted searches for individuals and groups

Tailored Services

- Work 1-on-1 with Pls
- Work with directed initiatives

Changing the Culture

- Deadlines
- Expectations
- Institutional Policy

Today's Agenda

- Identification of a Funding Opportunity
- Creating an Annual Funding Strategy
- Breaking down the RFP
- Budget
- Narrative
- Proposal Reviews

Identification of Funding Opportunities

- Federal Agencies:
 - Platforms:
 - <u>www.grants.gov</u>
 - <u>www.fedconnect.net</u>

- Individual sites:
 - <u>www.epa.gov</u>
 - <u>www.fws.gov</u>
 - www.energy.gov/eere/office-energy-efficiency-renewable-energy

Identification of Funding Opportunities

- National Foundations:
 - <u>www.foundationcenter.org</u>
 - <u>www.gatesfoundation.org</u>
 - <u>www.fordfoundation.org</u>
 - <u>http://www.coca-colacompany.com/our-</u> <u>company/the-coca-cola-foundation</u>
 - <u>www.starbucks.com/responsibility/commu</u> <u>nity/starbucks-foundation</u>

- Local Foundations:
 - www.azfoundation.org
 - <u>www.lincolninst.edu</u>
 - <u>www.pipertrust.org</u>
 - <u>www.ninapulliamtrust.org</u>
 - <u>www.flinn.org</u>
 - www.dorrancefamilyfoundation.org
 - www.tgci.com/funding-sources/AZ/top
- Google Search: Food Waste
 - <u>http://www.thefinkfamilyfoundation.or</u> g/food-waste.html
 - <u>www.stopwaste.org</u>
 - <u>www.refed.com</u>
 - <u>www.sustainablebrands.com</u>

Identification of Funding Opportunities

	ine)			HOME	HELP FEEDBACK	LOGOUT CHAT NOW
		of the ION CENTER	Power Searc	Search Grantmakers	Search Companies	Search Search Grants 990s
Q Search Form > Search Results						
Your Search	Resul	ts: 1-100 of	118976		New Search	Modify Search
Grantmaker Location:					🖶 Print/Save 🖂	Email 📾 Export Lis
Save Search		Add to Workspace	Grantmaker Name	City, State / Country	Total Assets -	Total Giving
Narrow Your Results		Ċ	President and Fellows of Harvard Corporation	Cambridge, MA	\$72,763,619,000	\$651,074,543
Add Keyword	۵	đ	Gates Foundation, Bill & Melinda	Seattle, WA	\$44,320,862,806	\$3,439,671,894
		đ	Kaiser Foundation Hospitals	Oakland, CA	\$39,709,611,780	\$138,464,888
Grantmakers Country [Prev 10 Next 10]		đ	Hughes Medical Institute, Howard	Chevy Chase, MD	\$21,693,736,000	\$85,000,000

Creating an Annual Funding Strategy Map

	FUNDING	TYPE (corp,	PROGRAM	DUE DATE	DATE	PERSON	2018	2018	2018	REPORT	REPORT	FINAL	FINAL	COMMENTS
	SOURCE	foundation			SENT	RESPONSIBLE	Request	Award	Award	DUE	SENT	REPORT	REPORT	
	JOUNCE				JENT	NEST ONSIDEE	•	Amuru	Annulu	DOL	JEINI			
		etc.)					Amount					DUE	SENT	
Ja	nuary													
F	bruary													
N	arch													
A	oril													
N	Мау													
-														

How to create the plan

Weekly

Monthly

Quarterly

Annually

Scenario- You find the diamond in the rough- perfect call- but you found it 2 weeks after the deadline- my next step is to add all pertinent information to my Funder Planning Calendar that I update on a weekly basis.

*Have an elevator speech prepared for your projects!

Breaking down the RFP



Funding Opportunities

- AKA
 - Solicitation
 - Funding Announcement
 - Broad Agency Announcement
 - Program Solicitation
 - Program Announcement
 - Application Instructions
 - Proposal Guidelines
 - Request for Applications

These can differ via federal vs. foundation vs. donor requests

Breaking Down a Request for Proposal

- RFP's lay out the specific needs or content focus within a single document.
- Deadlines, specifications on documents-(font size, margins, documents required)
- Budgets
- Subcontractors, collaborators, co-PI's all can have specific requirements
- The whole process can take weeks or months depending on the complexity of the task at hand

Key Information

- Sponsor Name
- Funding Opportunity Title
- Purpose/Objective
- Eligibility
- Key Dates
- Proposal Prep Instructions
- Submission Deadline
- Application Review and Selection Process
- Award Administration
- Contacts

Action Steps	Items for Consideration
Determine purpose of funding	 Specific population Specific nonprofit (religious, environmental, educational) Types of support (research, fellowship, equipment, program, center, event)
Review funder's mission and funding priorities	Make sure your project is consistent with the purpose and activities the funder supports
	For example, the EPA has its mission and funding priorities posted via national and regional zones. Check back before submission for updates based on annual budget policies.
Review limitations	Review allowable costs Review unallowable costs

Action Steps	Items for Consideration
Read funding opportunity announcement carefully before deciding to apply	When is the application due? Is there time to write an application of quality?
IF FUNDER DOES NOT ACCEPT UNSOLICITED APPLICATIONS, DO	Is a Letter of Intent due before the application? If so, what is the deadline?
NOT APPLY without invitation	What are the budget constraints? Is enough money available to actually implement the project if awarded?
	How does the funder want to be contacted? (e.g., email, hard copy, electronic portal)
	Do we have the facilities, equipment, and resources in place to implement the project in the time frame stated if awarded?
Note any unusual terms	

Take Advantage of the Q&A Part

- Most often questions and answers will typically be shared publically on the website or within the RFP.
- Take time to read through them before contacting the PO to ensure the question has not been already answered.
- Can prove vital in giving you a glimpse into the competition!

Compliance

- RFPs are very specific. Pay close attention to the small details laid out by the funder.
- Something as simple as margin or font size can be the reason your proposal is returned without review!
- The devil is in the details-it pays to have enlisted an outside participant to review the compliance portion after all documents are in final form.

Unsolicited Proposals & White Papers

- Understand the funder's mission, culture and investment agenda.
- You should be able to bring a competitive expertise and offer an idea of interest to the funder.

- Contact the program officer to discuss your project .
- What is the format for submission?

Review Exercise:



- Analyze Solicitation
- Report back to large group
- Issues
- Questions

Budget

Why the Budget is Important

- The budget should tell the same story as the narrative. It should be clear and concise and weave threads into the narrative to strengthen the project plan.
- The summary budget should be a snapshot or summary of the story that the budget tells. It is also important to note that the budget should follow and inform the narrative. This means, as the narrative is massaged into its final form so should the budget.
- The budget will show the funder if you truly know what you are doing with regards to the project. It should clearly tell the story of who, what, when, where and how much.

Budget

- Allowable Costs:
 - PI salary
 - Senior personnel or consultants
 - Education and outreach activities
 - Evaluation expenses
 - Travel and subsistence expenses for PI and U.S. participants when working abroad with foreign collaborators
 - Consultant expenses

The Power of Collaborating

Collaborations Can:

- Strengthen the narrative by weaving strands of expertise together to form an integrated project vision, project goals and objectives.
- Important:
 - RFP's that require collaborations require TRUE collaboration. Funders are able to grasp whether your collaboration is authentic or simply being utilized to fulfill the RFP requirements.
 - Collaborations strengthen the narrative by displaying existing and novel partnerships. Both have their own strengths and can be illustrated in the background, overview, narrative and budget justification.
 - Describe how the collaboration makes the project stronger vs. how your collaborators would contribute.
 - i.e. how does each partner help form an integrated vision, achieve the project goals and objectives.



HUD offers funding opportunities to help communities realize their own visions for building livable, walkable, and environmentally sustainable regions.

DOT offers funding opportunities to support more livable walkable communities.

<u>EPA</u> offers grants to support activities that improve the quality of development and protect human health and the environment.

In addition, EPA maintains a listing of additional funding sources to build sustainable communities.

Networking Ideas

International Council for Local Environmental Initiatives ICLEIhttp://icleiusa.org/climate-sustainability-funding-opportunities/

Urban Sustainability Directors Network- https://www.usdn.org/public/page/5/About

Philanthropy News Digest- http://philanthropynewsdigest.org/rfps

Getting to Know Your Neighbor

- Find a partner
- Take notes to report back to the group
- In turn, go through:
 - Name
 - Work
 - Level of grant experience
 - Sustainability project you are looking to fund
 - Current 3 topics you are working to fund
- Discuss how your project fits into those in your group
- Take 15 minutes as a group



Narrative

Plan for the 12-12-12 Scenario

- It's 12 midnight-
- The reviewer has been reading proposals for 12 hours-
- Your proposal is the reviewer's 12th proposal to read-
- How can you make your reviewer happy to read your proposal?

*Perfection is an admirable goal- but sometimes you have to just finish and step away for a while and comeback with fresh eyes



EVERY WORD SHOULD DO USEFUL WORK

Use Shorter Words

Instead of:	Try:
 Accordingly 	So
Facilitate	Help
 Immediately 	Now
• Utilize	Use
 Subsequently 	Then
 Expeditious 	Fast

Use Less Complex Words

Instead of:	Try:
 Renumeration 	Pay
• Liase	Meet
 Enumerate 	List
 Corportation 	Firm
 Transformation 	Change

Replace Redundant with Simple

Instead of:
Pre-Planning
New Innovations
Mission-Critical

- Data Items
- End Results

Try Planning Innovations Critical Data Result

Replace Bloated Phrases with One Word

Instead of:	Try
 Until such time as 	Until
 Have a need for 	Need
 Make a decision to 	Decide
 Due to the fact that 	Because
 In the event of 	If
 Are capable of 	Can

Avoid Using More Than You Need

Examples:

- Actual fact
- Blatantly obvious
- Critical juncture
- Each and every
- Few in number
- Final result
- First discovered
- Interact with each other

- Mental attitude
- Most unique
- Orbit around
- Past experience
- Repeat again
- Revert back
- Software programs
- Vitally important
- Well respected

When is it ok to use long, complex words?

When they are central to your field-

- Paleomagnetism
- Action potential
- Pneumonoultramicrospocisillicovolcanoco niosis

When they are efficient-

- Go around the globe vs. circumnavigate
- Start your computer vs. boot up
- A tinkling sound vs. tintinnabulation

Avoid Nounification

Verb

- Decide
- Manage
- Conclude
- Discus
- Examine
- Consider

Noun

- Make a decision
- Provide management
- Come to a conclusion
- Have a discussion about
- Conduct an examination
- Take into consideration
Do Not Include Claims - False or True

Instead of this-

Try this-

- State-of-the-art
- Best of breed
- Premier
- World-class
- Leading edge
- Cutting edge
- Pioneers

PROVE IT

Passive Voice: the Object of the Action is Cast as the Subject, De-Emphasizes the Actor, Highlights the Object

When to use it-

- When you don't want to take responsibility
- When you don't want to assign responsibility
- The actor is unknown, irrelevant, obvious, or un important
- The recipient is the main topic

Example-

- Dinner was burned
- The homework wasn't completed
- The honey bees were kept in a humidified chamber.
- Carbohydrates are produced by green plants

Active Voice: the Subject of the Sentence is Doing the Action or Being Acted On

It's passive if "by zombies" can go at the end of the sentence!

Passive

• The homework wasn't completed (by zombies).

• A user survey will be conducted biannually (by zombies).

Active

You didn't complete our homework!

• We will conduct a user survey biannually.

Deleting Redundancy

- 1. Avoid repetition of words or phrases in adjacent sentences .
- 2. Combining two sentences will probably require changing words.
- 3. Add Linking words.

Example: combining two sentences:

- Tom didn't eat his piece of pie. I got a spoon and ate Tom's piece of pie.
- Tom didn't eat his piece of pie so I got a spoon and ate it.
- Tom didn't eat his pie so I ate it.

Example: leaving out unnecessary information:

- Out of 62 samples, 40 tested positive and 22 were not positive.
- Out of 62 samples, 40 tested positive.

Sentences:

1-14 words/sentence: understood by 90-100% of readers Drops dramatically after 14 words 44 words: understood by <10%

A provider-based clinic model dictates that outpatient facilities can operate under the auspices of the larger medical entity, which reduces overhead for the outpatient clinic while allowing for a separate facility fee to be billed-this holds strong revenue potential for the medical center; In turn, the outpatient clinic providers would also have hospital privileges and integrated medical record with the main health system.

Readability= 19.3



- A provider-based clinic model dictates that outpatient facilities can operate under the auspices of the larger medical entity.
- This reduces overhead for the outpatient clinic while allowing for a separate facility fee to be billed.
- It holds strong revenue potential for the medical center.
- In turn, the outpatient clinic providers would also have hospital privelieges and integrated medical records with the main health system.



- A provider-based clinic model dictates that outpatient facilities can operate under the auspices of the larger medical entity.
- This reduces overhead for the outpatient clinic while allowing for a separate facility fee to be billed.
- It holds strong revenue potential for the medical center.
- In turn, the outpatient clinic providers would also have hospital privelieges and integrated medical records with the main health system.

Break Up Text

Benefits of a Provider-Based Clinic Model

- In this model, outpatient facilities can operate under the auspices of the larger medical entity.
- This reduces overhead for the outpatient clinic
- It allows for a separate facility fee to be billed this holds strong revenue potential for the medical center
- In turn, the outpatient clinic providers would also have hospital privileges
- It would also have integrated medical records with the main health system.

Clean Up Text

Benefits of a Provider-Based Clinic Model

- In this model, outpatient facilities operates as part of a larger hospital. This has several benefits:
- Reduces overhead for the outpatient clinic
- Increases revenue for the hospital, which can bill the outpatient clinic a "facility fee"
- Provides hospital privileges to outpatient doctors
- Integrates medical records at the clinic and the hospital.

Readability = 10.5

Flesch & Kinkaid Readability Scores

Readability Score:

- Flesch readability
- Number of words
- Number of words in a sentence

It Assesses:

- % short vs. long words
- % short sentences vs. long sentences



How to get Flesch-Kinkaid Readability Scores?

- Microsoft Word:
 - File
 - Options
 - Proofing
 - Show readability statistics-ok
 - Review
 - Run Spellcheck-this will give you readability stats!
- You can run it on a sentence, paragraph, or entire document.

Research and development projects tend to focus on specific technologies and individual components of the value chain. SILS-Nepal integrates research and development for solutions that overcome existing deficiencies along the continuum of production and value chain in a comprehensive, synergistic manner. The scale of impact will depend on our ability to build essential partnerships along the continuum. Increasing access for self-help groups and cooperatives (largely run by women) to markets with higher producer margins will result in higher incomes and enable the required investment in ASF production.

Flesch Reading score up above 60 Flesch-Kincaid Grade Level score below a 9-th grade level

Readability Statistics	? ×
Counts	
Words	87
Characters	522
Paragraphs	1
Sentences	4
Averages	
Sentences per Paragraph	4.0
Words per Sentence	21.7
Characters per Word	5.9
Readability	
Passive Sentences	0%
Flesch Reading Ease	17.5
Flesch-Kincaid Grade Level	16.2
	ОК

Make Your Proposal Easy to Understand and Read

- Break up the text with figures, flow charts, tables, bullet lists, etc.
- Orient reviewers with headings and subheadings
- Help reviewers find important points by <u>judiciously</u> using **bold**, *italics* and <u>underlining</u> (skip underlining)
- **NO** tiny fonts **OI** illegible figure labels.
- Limit jargon, acronyms, overly complex sentences

Project Description

Lorem ipsum dolor sit amet, consectetur adipisicing elit, sed do eiusmod tempor incididunt ut labore et dolore magna aliqua. Ut enim ad minim veniam, quis nostrud exercitation ullamco laboris nisi ut aliquip ex ea commodo consequat. Duis aute irure dolor in reprehenderit in voluptate velit esse cillum dolore eu fugiat nulla pariatur. Excepteur sint occaecat cupidatat non proident, sunt in culpa qui officia deserunt mollit anim id est laborum. Aute laborum, ad mollit Duis exercitation Ut proident, labore tempor minim id nostrud labore dolore Excepteur et deserunt eiusmod sint elit, aliquip aliqua, est fugiat est amet, aliqua. dolore sunt nisi ullamco sit Excepteur velit proident, in dolor amet, est culpa ut in eu velit Duis proident, ea occaecat in sint laboris ut Ut dolore nisi fugiat dolore nulla irure ipsum veniam, ad qui dolor sint deserunt qui veniam NaN velit eiusmod ut aliqua. deserunt quis esse in nisi dolore mollit exercitation Ut Duis non tempor consectetur nostrud cupidatat enim elit, laborum, ea dolor sit nisi eiusmod aliquip nulla do proident, magna non qui non Ut exercitation fugiat sint in nostrud sunt Lorem ad ullamco minim enim exercitation commodo in laborum, id aliquip fugiat velit eu dolor dolor sunt non exercitation ipsum.

Lorem ipsum dolor sit amet, consectetur adipisicing elit, sed do eiusmod tempor incididunt ut labore et dolore magna aliqua. Ut enim ad minim veniam, quis nostrud exercitation ullamco laboris nisi ut aliquip ex ea commodo consequat. Duis aute irure dolor in reprehenderit in voluptate velit esse cillum dolore eu fugiat nulla pariatur. Excepteur sint occaecat cupidatat non proident, sunt in culpa qui officia deserunt mollit anim id est laborum. Aute laborum, ad mollit Duis exercitation Ut proident, labore tempor minim id nostrud labore dolore Excepteur et deserunt eiusmod sint elit, aliquip aliqua, est fugiat est amet, aliqua, dolore sunt nisi ullamco sit Excepteur velit proident, in dolor amet, est culpa ut in eu velit Duis proident, ea occaecat in sint laboris ut Ut dolore nisi fugiat dolore nulla irure ipsum veniam, ad qui dolor sint deserunt qui veniam. NaN velit eiusmod ut aliqua, deserunt quis esse in nisi dolore mollit exercitation Ut Duis non tempor consectetur nostrud cupidatat enim elit, laborum, ea dolor sit nisi eiusmod aliquip nulla do proident, magna non qui non Ut exercitation fugiat sint in nostrud sunt Lorem ad ullamco minim enim exercitation commodo in laborum, id aliquip fugiat velit eu dolor dolor sunt non exercitation ipsum.

Lorem ipsum dolor sit amet, consectetur adipisicing elit, sed do eiusmod tempor incididunt ut labore et dolore magna aliqua. Ut enim ad minim veniam, quis nostrud exercitation ullamco laboris nisi ut aliquip ex ea commodo consequat. Duis aute irure dolor in reprehenderit in voluptate velit esse cillum dolore eu fugiat nulla pariatur. Excepteur sint occaecat cupidatat non proident, sunt in culpa qui officia deserunt mollit anim id est laborum. Aute laborum, ad mollit Duis exercitation Ut proident, labore tempor minim id nostrud labore dolore Excepteur et deserunt eiusmod sint elit, aliquip aliqua, est fugiat est amet, aliqua. dolore sunt nisi ullamco sit Excepteur velit proident, in dolor amet, est culpa ut in eu velit Duis proident, ea occaecat in sint laboris ut Ut dolore nisi fugiat dolore nulla irure ipsum veniam, ad qui dolor sint deserunt qui veniam NaN velit eiusmod ut aliqua. deserunt quis esse in nisi dolore mollit exercitation Ut Duis non tempor consectetur nostrud cupidatat enim elit, laborum, ea dolor sit nisi eiusmod aliquip nulla do proident, magna non qui non Ut exercitation fugiat sint in nostrud sunt Lorem ad ullamco minim enim exercitation commodo in laborum, id aliquip fugiat velit eu dolor dolor sunt non exercitation ipsum irure.

 $M\left[\mathbf{q}(t), \dot{\mathbf{q}}(t), t\right] = 0, \quad \tilde{M}\left[\mathbf{p}(t), \dot{\mathbf{p}}(t), t\right] = 0$

 $v = at + v_0$ [1]

Project Description

INTRODUCTION AND OVERVIEW

Lorem ipsum dolor sit amet, consectetur adipisicing elit, sed do eiusmod tempor incididunt ut labore et dolore magna aliqua. Ut enim ad minim veniam, quis nostrud exercitation ullamco laboris nisi ut aliquip ex ea commodo consequat. Duis aute irure dolor in reprehenderit in voluptate velit esse cillum dolore eu fugiat nulla pariatur. Our **overarching objective** is to excepteur sint occaecat cupidatat non proident, sunt in culpa qui officia deserunt mollit anim id est laborum. Aute laborum ad mollit Duis exercitation Ut proident, labore tempor minim id nostrud labore dolore Excepteur et deserunt eiusmod sint elit, aliquip aliqua, est fugiat est amet, aliqua, dolore sunt nisi ullamco sit Excepteur velit proident, in dolor amet, est culpa ut in eu velit Duis proident, ea occaecat in sint laboris ut Ut dolore nisi fugiat dolore nulla irure ipsum veniam, ad qui dolor sint deserunt qui veniam. NaN velit eiusmod ut aliqua, deserunt quis esse in nisi dolore mollit exercitation Ut Duis non tempor consectetur nostrud cupidatat enim elit. laborum, ea dolor sit nisi eiusmod aliquip nulla do proident, magna non qui non Ut exercitation fugiat sint in nostrud sunt Lorem ad ullamco minim enim exercitation commodo in laborum, id aliquip fugiat velit eu dolor dolor sunt non exercitation ipsum.

Approach

Lorem ipsum dolor sit amet, consectetur adipisicing elit, sed do eiusmod tempor incididunt ut labore

et dolore magna aliqua. Ut enim ad minim veniam, quis nostrud exercitation ullamco laboris nisi ut aliquip ex ea commodo consequat. Duis aute irure dolor in reprehenderit in voluptate velit esse cillum dolore eu fugiat nulla pariatur. Excepteur sint occaecat cupidatat non proident, sunt in culpa qui officia deserunt mollit anim id est laborum. Aute laborum. ad mollit Duis exercitation Ut proident, labore tempor minim id nostrud labore dolore Excepteur et deserunt eiusmod sint elit, aliquip aliqua, est fugiat est amet, aliqua, dolore sunt nisi ullamco sit Excepteur velit proident, in dolor amet, est culpa ut in eu velit Duis proident, ea occaecat in sint laboris ut Ut dolore nisi fugiat dolore nulla irure ipsum veniam, ad qui dolor sint deserunt qui veniam. NaN velit eiusmod ut aliqua, deserunt quis esse



Figure 1. This figure explains our approach

in nisi dolore mollit exercitation Ut Duis non tempor consectetur nostrud cupidatat enim elit. laborum. ea dolor sit nisi eiusmod aliquip nulla do proident, magna non qui non Ut exercitation fugiat sint in nostrud sunt Lorem ad ullamco minim enim exercitation commodo in laborum. id aliquip fugiat velit eu dolor dolor sunt non exercitation ipsum.

Innovation

Lorem ipsum dolor sit amet, consectetur adipisicing elit, sed do eiusmod tempor incididunt ut labore et dolore magna aliqua. Ut enim ad minim veniam, quis nostrud exercitation ullamco laboris nisi ut aliquip ex ea commodo consequat. Duis aute irure dolor in reprehenderit in voluptate velit esse cillum dolore eu fugiat nulla pariatur.

- Excepteur sint occaecat cupidatat non proident, sunt in culpa qui officia deserunt mollit anim id est laborum. Aute laborum, ad mollit Duis exercitation
- Ut proident, labore tempor minim id nostrud labore dolore Excepteur et desenunt eiusmod sint elit, aliquip aliqua, est fugiat est amet, aliqua, dolore sunt nisi ullamco sit Excepteur velit proident, in dolor amet, est culpa ut in eu velit
- Duis proident, ea occaecat in sint laboris ut Ut dolore nisi fugiat dolore nulla irure ipsum veniam, ad qui dolor sint desenunt qui veniam NaN velit eiusmod ut aliqua, deserunt quis esse in nisi dolore mollit exercitation Ut Duis non tempor consectetur nostrud cupidatat enim elit, laborum.

Proposal Elements

Collaboration Can Help

- Project Summary
- Project Description
- References Cited
- Collaborators & Affiliations
- Biosketch
- Current & Pending
- Budget, Budget Justification
- Ancillary Documents

- Focus & edit
- Flesh out ideas, edit
- Double check
- Assist with formatting
- Assist with formatting
- Assist with formatting
- Add perspective
- Second set of eyes



THEOVERVIEW

THE FIRST TWO PAGES

At the End of the First Two Pages...

...the reviewer should,

- be intrigued and excited
- have a basic understanding of your project and why it's important
- be convinced that this research is a great idea
- just be looking for details to confirm that you can do what you say you'll do.



You Never get a Second Chance to Make a First Impression

- Do make the first sentence specific to your proposal
- Do identify the kernel of your great idea within the first few sentences
- Example: "A critical problem in making biofuels practical is making step x in the synthesis process more efficient. Our proposed project will address this problem by using the following innovative approach..."

5 Key Persuasive Elements:

- Project/Research
 - Vision
 - Goals
 - Objectives
 - Rationale
 - Specific Outcomes

*Using the above elements provide clarity through using a logical tiered framework that allows reviewers to differentiate your proposal from others.

The Need / Motivation Goals or Gaps in Knowledge

How will the world be different after your project is successfully completed?

- What will we know or be able to do that we don't know or can't do now?
- What gaps in knowledge will you fill?
- Why do you need funding to do this work?
- What are the scientific barriers to doing this work?
- If you are unsuccessful, what will we have learned?

Examples of Goals

Good Goals

- ...substantially improve biometric cybersecurity by devising novel methods for liveness detection and resisting coercion attacks while improving accuracy
- …understand the mechanisms of microbial toxicity of graphene-based nanoparticles and their effects on the functionality of microbial communities involved in various biogeochemical cycles, such as N, P, S and C cycles

Bad Goals

- ...to explore the phenomenon of x
- ...to become a leader in the field of x
- …to develop a new widget (e.g. sensor, material, engine) unless it's clear what new knowledge will result

Models

~A model is a tool not a goal~ What will the model allow you to do? What questions will the model allow you to answer? How will you validate the model?

New Knowledge Example Research Questions

Good

Are the toxic effects of graphene-based nanomaterials affected by different water chemistries, such as pH, suspended particles, different salt concentrations, and organic matter?

Bad

- How can I develop a new widget?
- If I test 10 different designs of widgets, which one will work the best?

Approach / Objectives

- The main things you plan to accomplish to achieve project goals
- Clearly tied to research questions / hypotheses
- Also tied to project outputs
- NOT a task list

Example 1: To relate nanoparticle microstructure to electrical, mechanical and thermal behavior of nanocomposites.

Example 2: To develop robust algorithms for matching ocular biometric templates.

Significance or Why Should We Care?

- The bar is always high to receive funding
- Define significance in relation to the new knowledge or capabilities generated.

Example: Ultimately, the concepts studied here will provide a powerful way to precisely tailor the processing, thermal, electrical and mechanical behaviors of nanoparticle-filled systems.

Example: This research will answer important questions about the impact of nanomaterials on the environment that must be addressed before these nanomaterials can be widely used in industry and be available to the general public.

Project Plan – Don't Forget to Include

- Step-by-step how you will accomplish your goals
- Enough detail to convince your reviewers that you will succeed
- Where you will get the resources you need
- The roles your collaborators will play
 - Name them and briefly describe their qualifications
 - Refer reviewers to letters of collaboration

How to Wave the Magic Wand

Steps in order:

- 1. Shorten words
- 2. Shorten sentences



3. Improve readability by playing with words, sentences and paragraphs in Flesch-Kincaid or other tool.

Take Home Message

Style, Word Choice, Tense

Active > passive - We will train...

Specific > general – 20 fifth-grade students

Fewer and simpler words > lengthy and complex

- Be careful about jargon and excessive use of terminology

Future tense > conditional – We will...

Tone: collaborative, confident, authoritative



Proposal Reviews

- When a reviewer reads your proposal they should be able to:
 - Answer what you have proposed to do
 - Know why your project is important/significant
 - Believe you are able to complete what you said you could
 - Understand how you will complete it
 - Identify how your work will contribute and advance the interest of the agency or field.

Acting on Proposal Review Comments

The reviewers felt the scope of the project was inappropriate (either too ambitious for the funding and time available, or not ambitious enough).
What would you do?

Suggestions-

Revise Scope, include a detailed timeline, discuss how your expertise allows you to complete the ambitious task.

Acting on Proposal Review Comments

The reviewers felt your project was not exciting or significant enough.
What would you do?

Suggestions-

Honestly assess your project. Are they correct? Can you revise and resubmit at the next call? Would adding collaborators strengthen the project? How can you add innovation?

Acting on Proposal Review Comments

Most of the reviewers liked your proposal, but one reviewer panned it.
What would you do?

Suggestions-

This situation highlights the importance of a Program Officer. They will be able to give you insight into this situation. Was the negative reviewer an expert in the field? Could you simply revise and resubmit? Or was this reviewers comments not given weight by the panel?

Managing the Submission

- Establish Distinct Team Roles
- Communication Plan
- Strategies for Addressing Conflict
- DEADLINES
- Debriefing

You have a template example for NSF in you package.

References

Quick, James Aaron and Cheryl Carter New (2004). How to Write a Grant Proposal. Polaris. John Wiley and Sons Inc., NY.

W. K. Kellogg Foundation Evaluation Handbook.

Science Docs Inc., On Writing a Scientific Manuscript, part 2: https://www.sciencedocs.com/writing-a-scientific-manuscript/

Questions?



Arizona State University

sustainability.asu.edu